

# Introduction to Computer Graphics

Spring 2011, lecture notes #2

Mathematics background

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- Curves: lines, parametric, implicit
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# Abstractions

- The mathematical foundations of computer graphics are based on infinite precision abstractions of geometrical objects.
- For instance, a **point** in space is 0-dimensional with zero length, zero area, zero volume, ... while a **line** has non-zero length but zero area, zero volume, and so on.
- Care should be taken when calculating positions, intersection points, etc., using finite precision arithmetic.

# Trigonometry

- Trigonometric functions are used both directly and indirectly. All angles are measured in *radians*.
- Direct use
  - Rotations by an angle  $\theta$  are expressed using  $\sin(\theta)$  and  $\cos(\theta)$ .
  - Physical models of the behaviour of light at material interfaces use  $\sin(\phi)$  and  $\cos(\phi)$ , needed to calculate photorealistic reflections and refractions.
- Indirect use
  - To decide if two vectors are pointing in the same or opposite directions:  $\cos > 0$  or  $< 0$ .

# Trigonometry

- $\sin(-\alpha) = -\sin(\alpha)$ , but  $\cos(-\alpha) = \cos(\alpha)$

- Addition formulae

$$\sin(\alpha+\beta) = \sin(\alpha)*\cos(\beta) + \cos(\alpha)*\sin(\beta)$$

$$\cos(\alpha+\beta) = \cos(\alpha)*\cos(\beta) - \sin(\alpha)*\sin(\beta)$$

- Subtraction formulae

$$\sin(\alpha-\beta) = \sin(\alpha)*\cos(\beta) - \cos(\alpha)*\sin(\beta)$$

$$\cos(\alpha-\beta) = \cos(\alpha)*\cos(\beta) + \sin(\alpha)*\sin(\beta)$$

# Points

- Points in n-dimensional Euclidean spaces are given by n-tuples

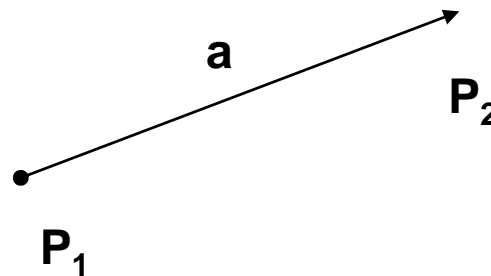
$$P = (x_1, x_2, \dots, x_n)$$

We will mostly have  $n \leq 4$ .

- Points are used to define straight lines and curves.
- Straight lines are used to define planes and areas. Using points and eg. splines or parametric representations we can construct curved surfaces.
- Planes are used to define volumes.

# Vectors

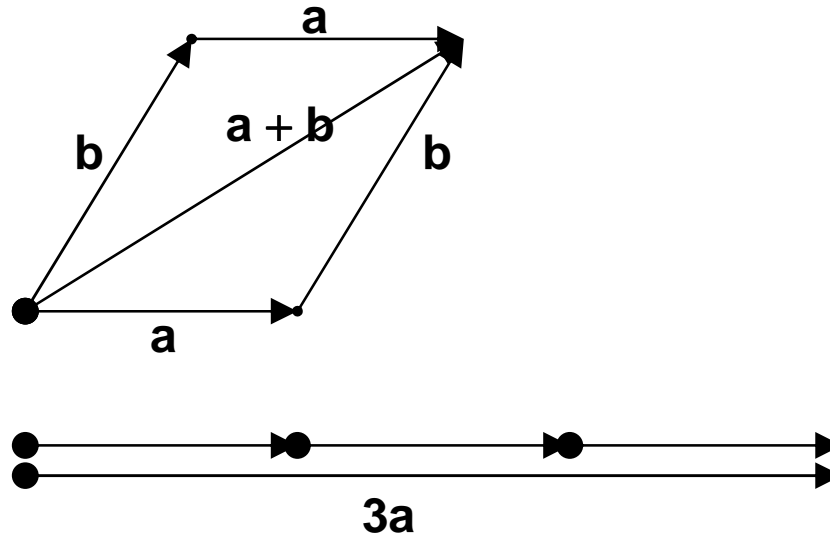
- A vector **a** has a direction and a length
- The vector **a** can be defined by giving its starting point  $P_1$  and end point  $P_2$ .



- A vector is not fixed in space. You may freely translate it preserving its direction.

# Vectors

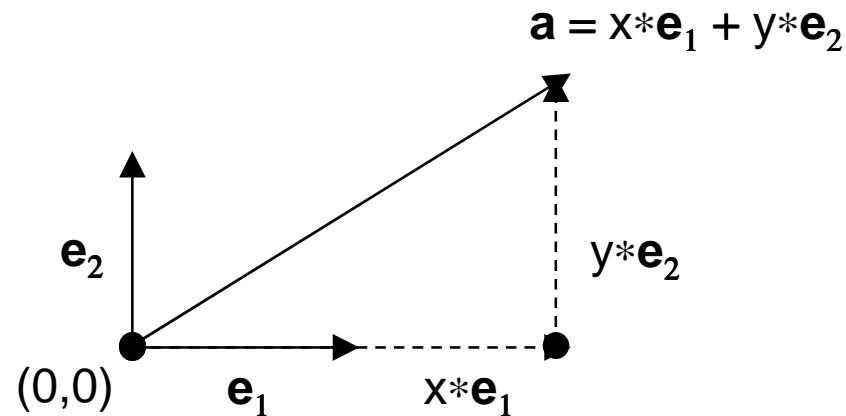
- Vector algebra:  $\mathbf{a} + \mathbf{b} = \mathbf{b} + \mathbf{a}$   
 $\alpha * \mathbf{a} = \alpha \mathbf{a}$





# Vectors

- Unit basis vectors  $\mathbf{e}_1$  and  $\mathbf{e}_2$  in a 2D Cartesian coordinate system:



- Unit length:  $\|\mathbf{e}_1\| = \|\mathbf{e}_2\| = 1$
- If  $P_1$  and  $P_2$  themselves are vectors defined from the origin  $(0,0,...,0)$  then  $\mathbf{a} = P_2 - P_1$

# Vectors

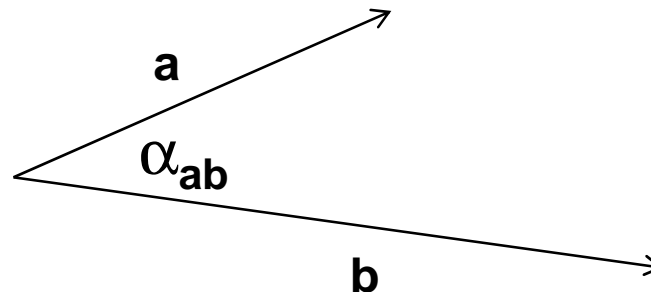
- Any vector  $\mathbf{a}$  can be represented by its unique coordinates  $(x,y)$  in a Cartesian coordinate system:  $\mathbf{a} = x*\mathbf{e}_1 + y*\mathbf{e}_2$
- Coordinate representation:
$$\mathbf{a}^T = [x, y]$$
- $\|\mathbf{a}\| = \text{sqrt}(x^2 + y^2)$  , Pythagoras

## Dot product $\mathbf{a} \cdot \mathbf{b}$

- For any two vectors  $\mathbf{a}$  and  $\mathbf{b}$  we can calculate their dot product  $\cdot$

$$\mathbf{a} \cdot \mathbf{b} = \|\mathbf{a}\| * \|\mathbf{b}\| * \cos(\alpha_{ab})$$

where  $\alpha_{ab}$  = the angle between  $\mathbf{a}$  and  $\mathbf{b}$



- In the coordinate representation

$$\mathbf{a} \cdot \mathbf{b} = x_a * x_b + y_a * y_b$$

## Dot product $\mathbf{a} \cdot \mathbf{b}$

- Combining these two results we have a way of calculating the cosine of the angle between two vectors using only square roots and multiplications:

$$\cos(\alpha_{ab}) = (\mathbf{x}_a * \mathbf{x}_b + \mathbf{y}_a * \mathbf{y}_b) / (||\mathbf{a}|| * ||\mathbf{b}||)$$

- If  $\mathbf{a}$  and  $\mathbf{b}$  are perpendicular to each other then  $\mathbf{a} \cdot \mathbf{b} = 0$ .

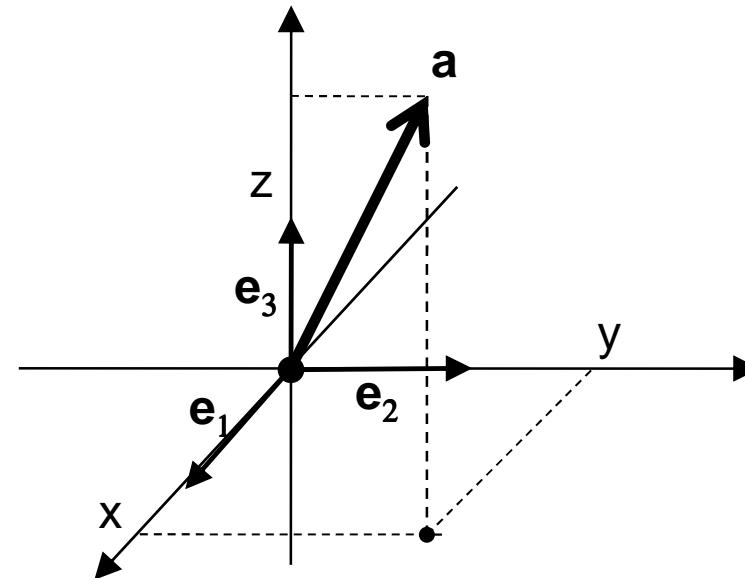
# Dot product $\mathbf{a} \cdot \mathbf{b}$

- In 3D

$$\mathbf{e}_1 = (1, 0, 0)$$

$$\mathbf{e}_2 = (0, 1, 0)$$

$$\mathbf{e}_3 = (0, 0, 1)$$



- $\mathbf{a} = x \cdot \mathbf{e}_1 + y \cdot \mathbf{e}_2 + z \cdot \mathbf{e}_3$
- $\|\mathbf{a}\| = \sqrt{x^2 + y^2 + z^2}$
- $\mathbf{a} \cdot \mathbf{b} = x_a \cdot x_b + y_a \cdot y_b + z_a \cdot z_b$

# Cross product $\mathbf{a} \times \mathbf{b}$

- Defined in 3D for two vectors  $\mathbf{a}$  and  $\mathbf{b}$

$$\mathbf{a} \times \mathbf{b} = \begin{vmatrix} \mathbf{e}_1 & \mathbf{e}_2 & \mathbf{e}_3 \\ a_x & a_y & a_z \\ b_x & b_y & b_z \end{vmatrix}$$

$$(\mathbf{a} \times \mathbf{b})_x = a_y * b_z - a_z * b_y$$

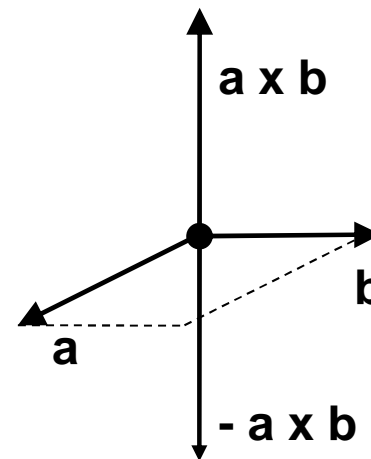
$$(\mathbf{a} \times \mathbf{b})_y = -a_x * b_z + a_z * b_x$$

$$(\mathbf{a} \times \mathbf{b})_z = a_x * b_y - a_y * b_x$$

- Also  $\|\mathbf{a} \times \mathbf{b}\| = \|\mathbf{a}\| * \|\mathbf{b}\| * \sin(\alpha_{ab})$

# Cross product $\mathbf{a} \times \mathbf{b}$

- $\mathbf{a} \times \mathbf{b} = \mathbf{0}$  iff  $\mathbf{a}$  and  $\mathbf{b}$  are parallel,  $\mathbf{a} \times \mathbf{a} = \mathbf{0}$
- $\mathbf{a} \times \mathbf{b} = -\mathbf{b} \times \mathbf{a}$
- If  $\mathbf{a}$  and  $\mathbf{b}$  are not parallel to each other then  $\mathbf{a} \times \mathbf{b}$  will be perpendicular to the plane spanned by  $\mathbf{a}$  and  $\mathbf{b}$ .



## Local coordinate basis

- An orthonormal basis of three unit vectors  $\mathbf{e}_1$ ,  $\mathbf{e}_2$ ,  $\mathbf{e}_3$  perpendicular to each other can be formed from any three vectors  $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$  not collinear by the following procedure:
  - $\mathbf{e}_1$  = normalized  $\mathbf{a}$ :  $\mathbf{e}_1 = \mathbf{a}/\|\mathbf{a}\|$
  - Form  $\mathbf{b}' = \alpha \mathbf{e}_1 + \beta \mathbf{b}$  such that  $\mathbf{b}' \cdot \mathbf{e}_1 = 0$ , that is,  $\alpha + \beta \mathbf{b} \cdot \mathbf{e}_1 = 0$ 
    - If  $\mathbf{b} \cdot \mathbf{e}_1 = 0$  choose  $\alpha = 0$  and  $\mathbf{e}_2 = \mathbf{b}/\|\mathbf{b}\|$
    - Else let  $\beta = 1$ ,  $\alpha = -\mathbf{b} \cdot \mathbf{e}_1$ , and  $\mathbf{e}_2 = \mathbf{b}'/\|\mathbf{b}'\|$
  - $\mathbf{e}_3 = \mathbf{e}_1 \times \mathbf{e}_2$

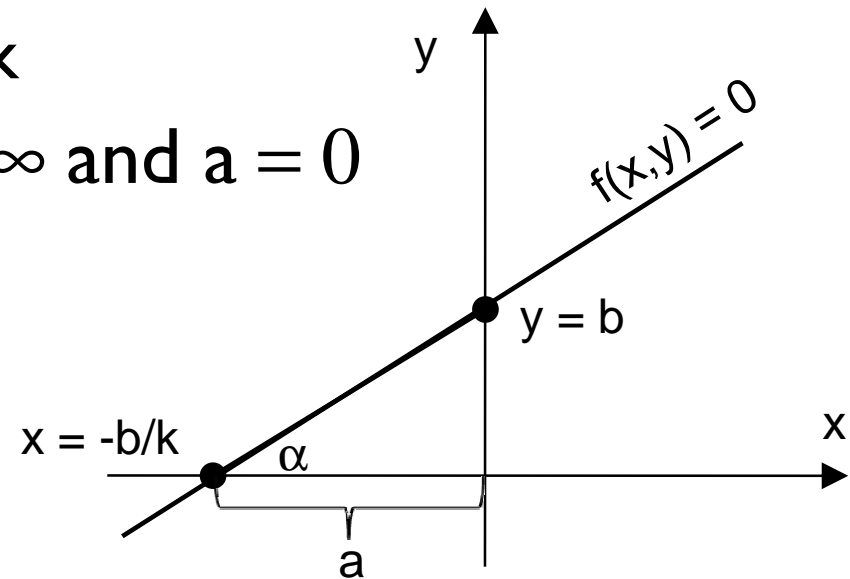


# Curves, lines

- Curves are 1D objects with non-zero length but zero area, zero volume, etc.
- We consider only curves in Cartesian coordinate spaces, 2D or 3D.
- Can be defined
  - *implicitly* from an equation  $f(x,y) = 0$   
eg.  $f(x,y) = y - k*x - b$
  - *parametrically*,  $[x,y] = [f(t),g(t)]$ ,  $t \in [0,1]$

# Straight line in 2D, implicit

- Definition:  $f(x,y) = y - k*x - b$
- Points on the line given by  $f(x,y) = 0$ 
  - $k$  is the slope,  $k = \tan(\alpha) = b/a$
  - $x = 0 \Rightarrow y = b$ , the  $y$ -intercept
  - $y = 0 \Rightarrow x = -b/k$
- Special cases:  $k = \infty$  and  $a = 0$

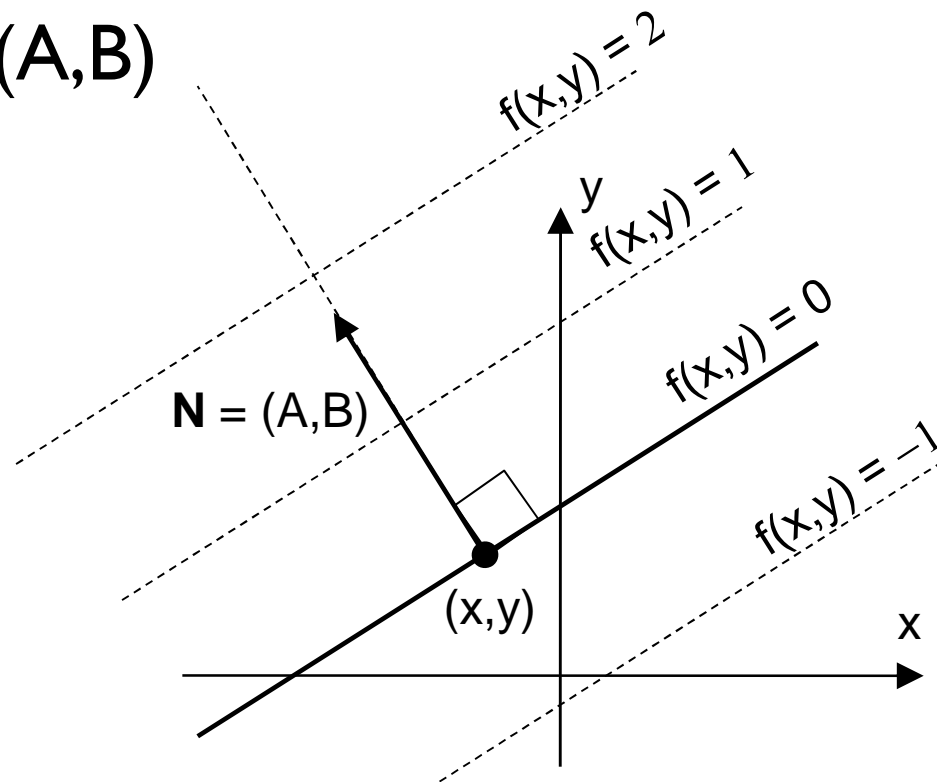


# Straight line in 2D, implicit

- A more general definition:

$$f(x,y) = A*x + B*y + C$$

- Normal:  $(A,B)$



# Straight line in 2D, implicit

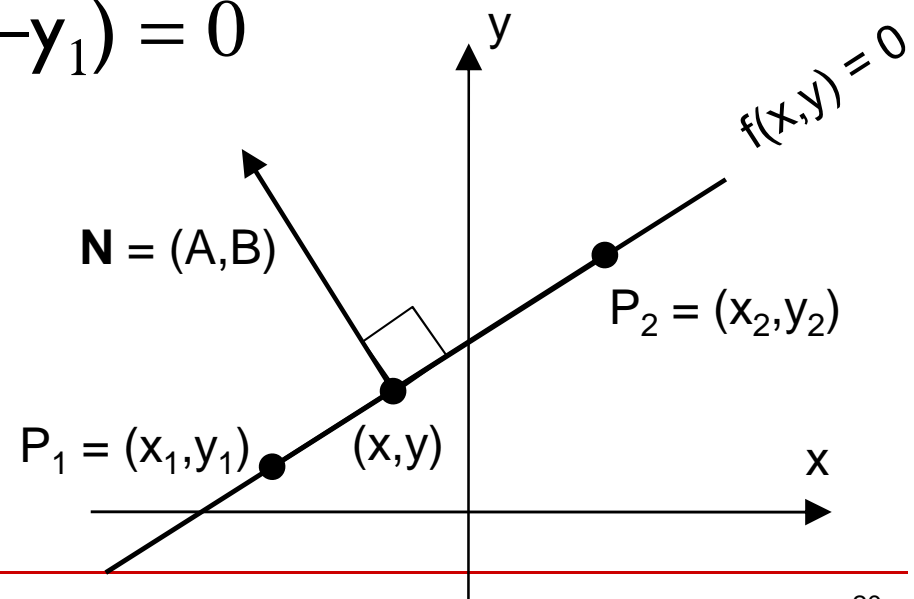
- Given two points on the line, find A,B,C!
- A,B,C not unique: we can multiply by any non-zero value.
- The vector  $\mathbf{P}_2 - \mathbf{P}_1$  is orthogonal to  $\mathbf{N}$  :

$$(A,B) \cdot (x_2 - x_1, y_2 - y_1) = 0$$

choose

$$A = y_1 - y_2$$

$$B = x_2 - x_1$$



## Straight line in 2D, implicit

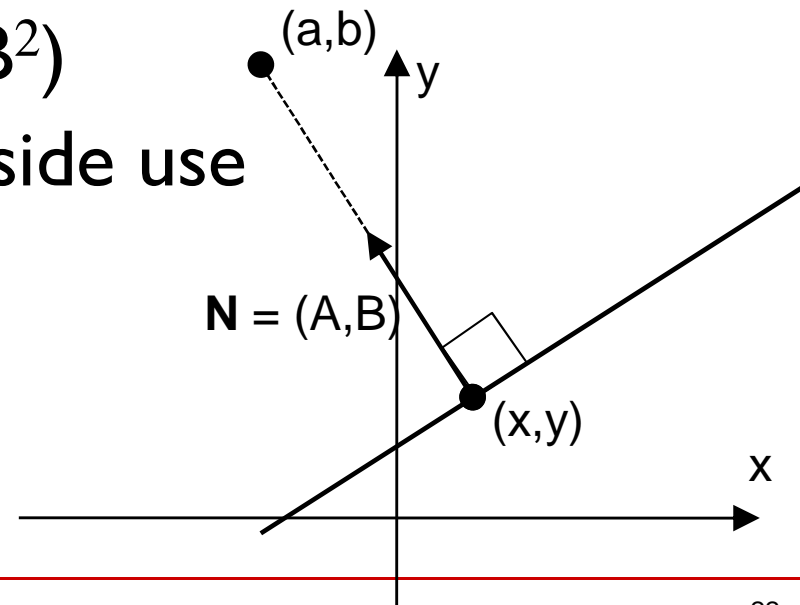
- Thus  $(y_1 - y_2) * x + (x_2 - x_1) * y + C = 0$
- This line also passes through  $(x, y) = P_1$ .  
Use  $x = x_1$  and  $y = y_1$  and solve for  $C$ .

$$(y_1 - y_2) * x + (x_2 - x_1) * y + x_1 y_2 - x_2 y_1 = 0$$

# Straight line in 2D, implicit

- Distance  $d$  from  $(a,b)$  to lin, at  $(x,y)$ ?
- $d$  is along normal,  $d = k \cdot \sqrt{A^2+B^2}$
- $(a,b) = (x,y) + k(A,B)$ , calculate  

$$f(a,b) = Ax + kA^2 + By + kB^2 + C = k(A^2+B^2)$$
- $d = f(a,b) / \sqrt{A^2+B^2}$
- if  $(a,b)$  on negative side use  $-d$

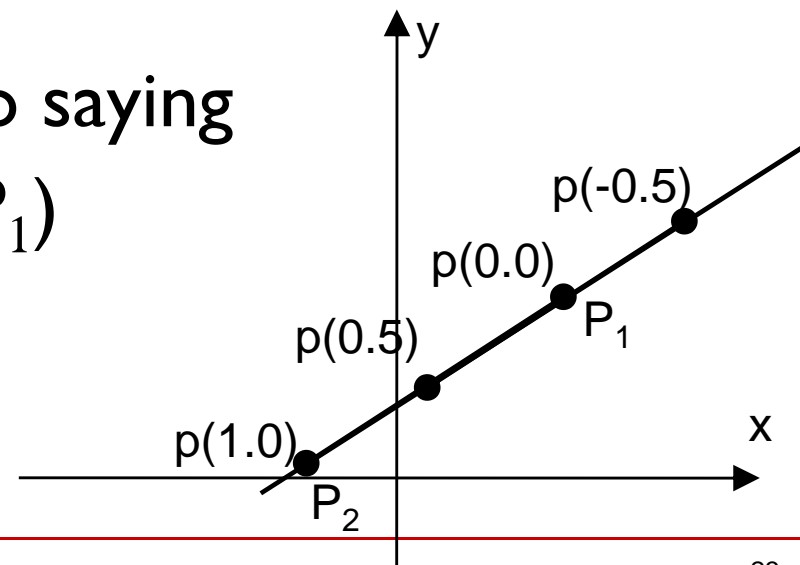


# Straight line in 2D, parametric

- The line passing through  $P_1 = (x_1, y_1)$  and  $P_2 = (x_2, y_2)$  can be written

$$\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} x_1 + t(x_2 - x_1) \\ y_1 + t(y_2 - y_1) \end{bmatrix} \quad t \in (-\infty, +\infty)$$

- This is equivalent to saying  $p(t) = P_1 + t \cdot (P_2 - P_1)$



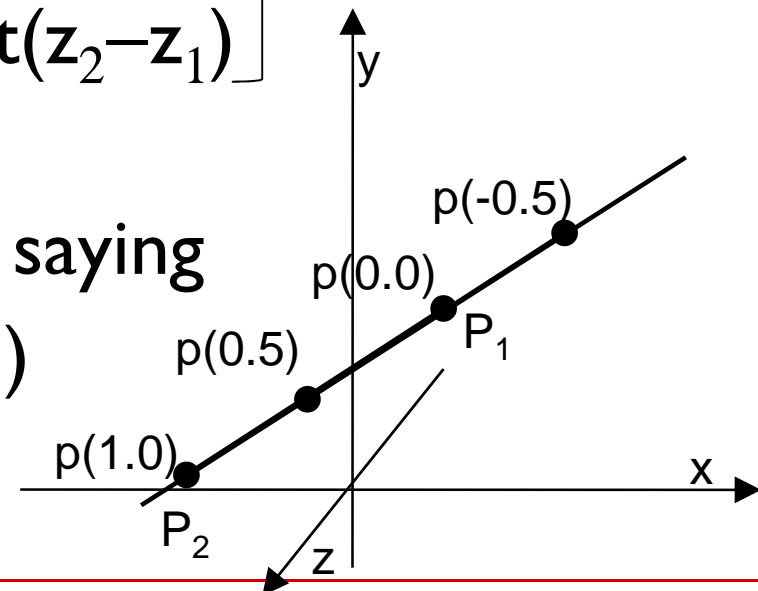
# Straight line in 3D, parametric

- The line passing through  $P_1 = (x_1, y_1, z_1)$  and  $P_2 = (x_2, y_2, z_2)$  can be written

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} = \begin{bmatrix} x_1 + t(x_2 - x_1) \\ y_1 + t(y_2 - y_1) \\ z_1 + t(z_2 - z_1) \end{bmatrix} \quad t \in (-\infty, +\infty)$$

- This is equivalent to saying

$$p(t) = P_1 + t \cdot (P_2 - P_1)$$

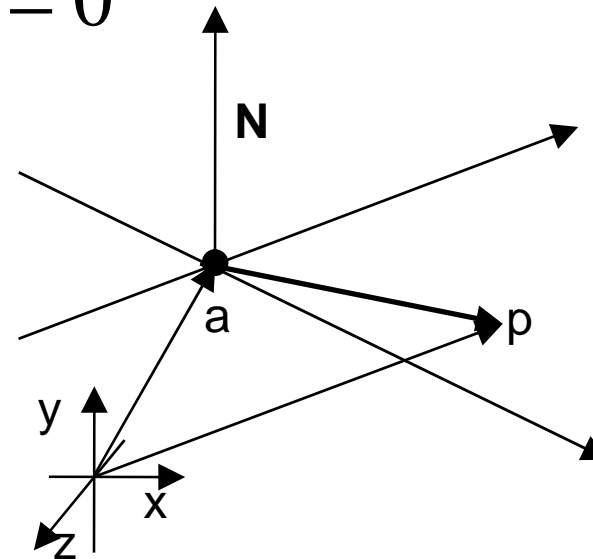




## Plane in 3D, implicit

- Given the point **a** and a normal **N**, can we formulate an equation for all points in a plane for which **N** is the normal? Denote any point in the plane by **p**.

$$(\mathbf{p} - \mathbf{a}) \cdot \mathbf{N} = 0$$

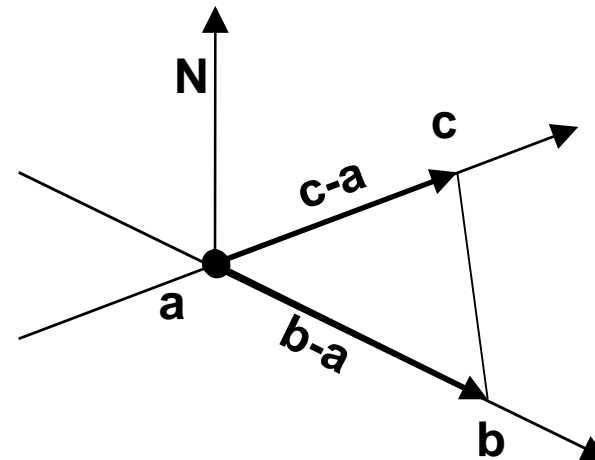


## Plane in 3D, implicit

- Three points **a**, **b**, **c** define a plane. Can we formulate an equation for this plane?

$$(\mathbf{p} - \mathbf{a}) \cdot \mathbf{N} = 0$$

$$\mathbf{N} = (\mathbf{b} - \mathbf{a}) \times (\mathbf{c} - \mathbf{a})$$

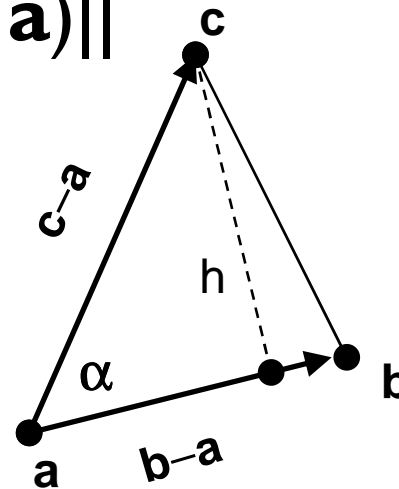


# Triangles, 2D

- Three points **a, b, c** in 2D define a triangle.
- Its area  $A = \text{base} * \text{height} / 2 = ||\mathbf{b} - \mathbf{a}|| * h / 2$
- But  $\sin(\alpha) = h / ||\mathbf{c} - \mathbf{a}||$  therefore

$$A = \frac{1}{2} ||\mathbf{b} - \mathbf{a}|| * ||\mathbf{c} - \mathbf{a}|| * \sin(\alpha)$$

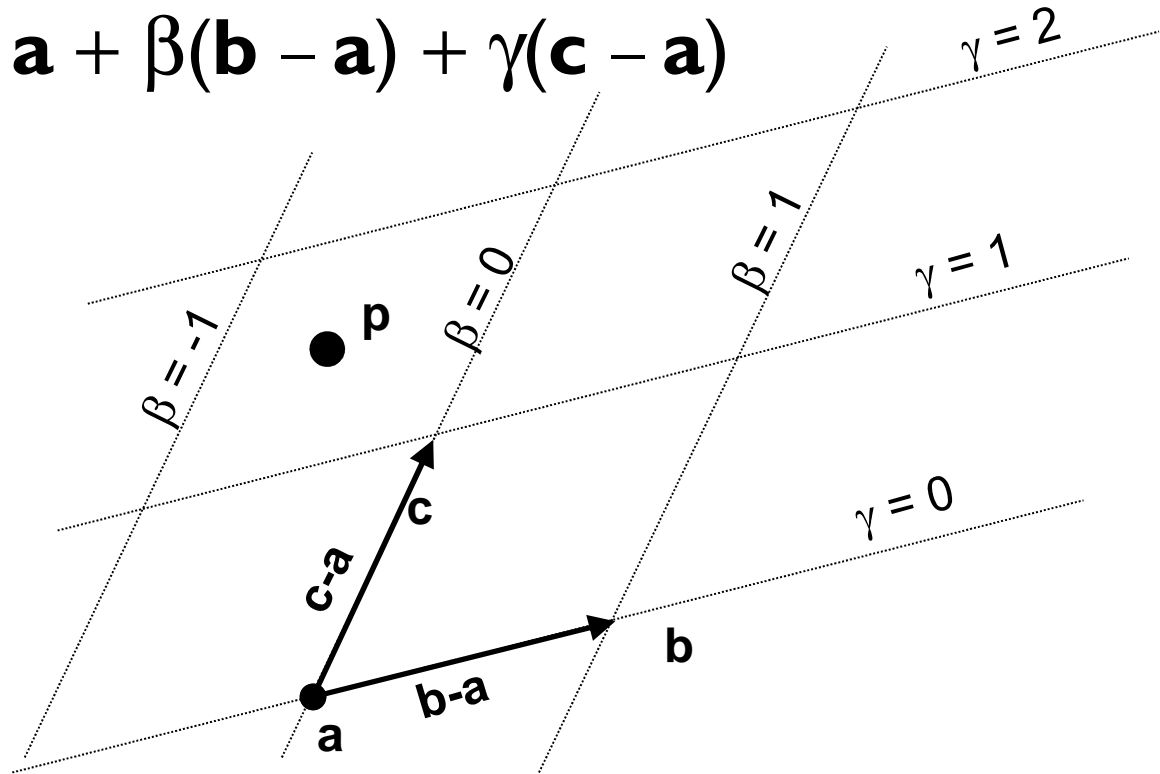
$$= \frac{1}{2} ||(\mathbf{b} - \mathbf{a}) \times (\mathbf{c} - \mathbf{a})||$$



# Triangles, barycentric coordinates

- Any point **p** in the plane defined by the triangle **abc** can be written

$$\mathbf{p} = \mathbf{a} + \beta(\mathbf{b} - \mathbf{a}) + \gamma(\mathbf{c} - \mathbf{a})$$



# Triangles, barycentric coordinates

- Rewrite as

$$\mathbf{p} = (1 - \beta - \gamma)\mathbf{a} + \beta\mathbf{b} + \gamma\mathbf{c}$$

- Define  $\alpha = (1 - \beta - \gamma)$

- Now

$$\mathbf{p} = \alpha\mathbf{a} + \beta\mathbf{b} + \gamma\mathbf{c} \quad \alpha + \beta + \gamma = 1$$

- P is inside the triangle provided

$$0 < \alpha < 1 \text{ and } 0 < \beta < 1 \text{ and } 0 < \gamma < 1$$

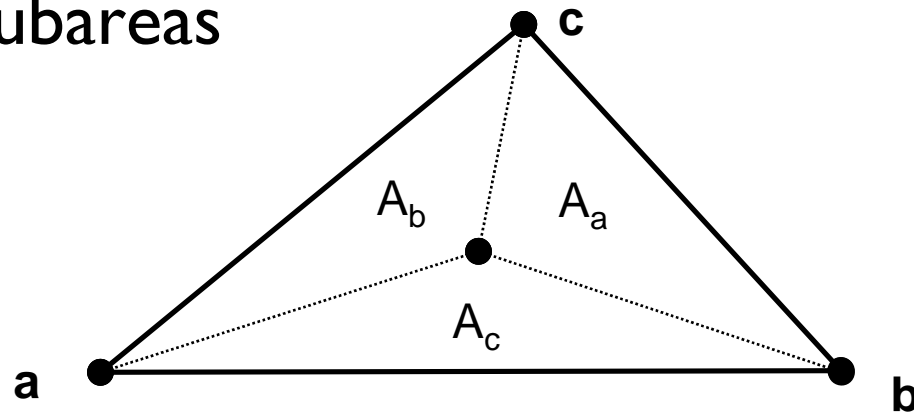
# Triangles, barycentric coordinates

- The barycentric coordinates b.c. give a smooth interpolation of values given at the vertices of the triangle:
  - if two of the b.c. are zero we are at one of the vertices, eg.  $\alpha = 1, \beta = \gamma = 0$  at **a**
  - the b.c. coordinates are proportional to triangle subareas

$$\alpha = A_a/A$$

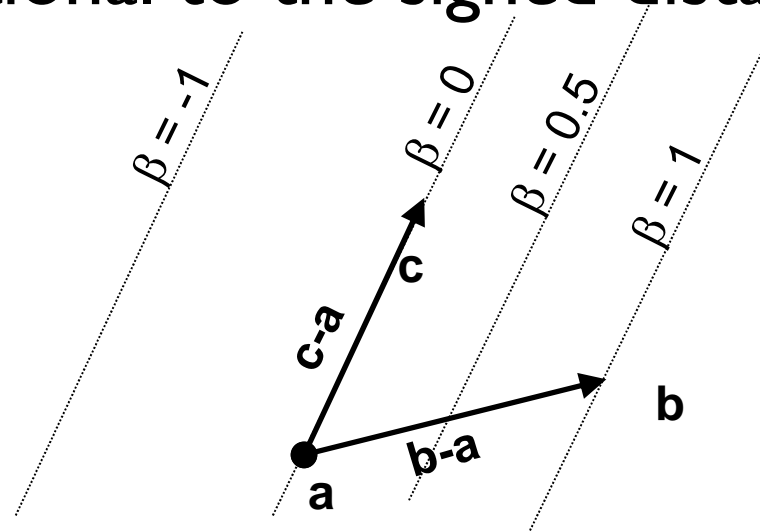
$$\beta = A_b/A$$

$$\gamma = A_c/A$$



# Triangles, barycentric coordinates

- Given  $\mathbf{a}, \mathbf{b}, \mathbf{c}$  how do we calculate the barycentric coordinates  $\alpha, \beta, \gamma$ ?
- We already saw that the implicit form  $f(x, y) = Ax + By + C$  of a line gives a value proportional to the signed distance to the line



# Triangles, barycentric coordinates

- Therefore, for any point  $(x,y)$

$$\beta = f_{ac}(x,y)/f_{ac}(x_b,y_b)$$

$$\gamma = f_{ab}(x,y)/f_{ab}(x_c,y_c)$$

$$\alpha = 1 - \beta - \gamma$$

- In 3D, all formulae for the barycentric coordinates are the same, only add the third coordinate to the points **a,b,c**!



# Triangles in 3D, barycentric c.

- In 3D, any point **p** in the plane of the triangle with vertices **a, b, c** is given by

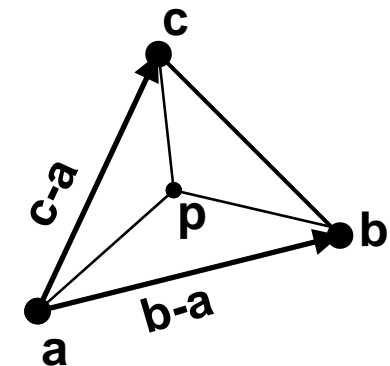
$$\mathbf{p} = (1 - \beta - \gamma)\mathbf{a} + \beta\mathbf{b} + \gamma\mathbf{c}$$

- The length of the normal

$$\mathbf{n} = (\mathbf{b} - \mathbf{a}) \times (\mathbf{c} - \mathbf{a})$$

is proportional to the triangle area:  $A = |\mathbf{n}|/2$

- Idea: Let's calculate the subtriangle areas formed by **p** and the triangle vertices **a, b, c** !



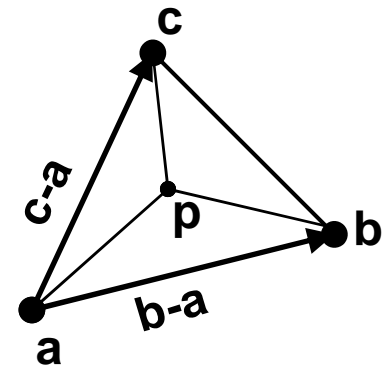
# Triangles in 3D, barycentric c.

- We should make sure the vertices of the subtriangle form a right-handed coordinate system when calculating  $\mathbf{n}_i$  :

$$\mathbf{n}_a = (\mathbf{c} - \mathbf{b}) \times (\mathbf{p} - \mathbf{b}) \rightarrow \alpha = \mathbf{n} \times \mathbf{n}_a / |\mathbf{n}|^2$$

$$\mathbf{n}_b = (\mathbf{a} - \mathbf{c}) \times (\mathbf{p} - \mathbf{c}) \rightarrow \beta = \mathbf{n} \times \mathbf{n}_b / |\mathbf{n}|^2$$

$$\mathbf{n}_c = (\mathbf{b} - \mathbf{a}) \times (\mathbf{p} - \mathbf{a}) \rightarrow \gamma = \mathbf{n} \times \mathbf{n}_c / |\mathbf{n}|^2$$



# Matrices

- Let  $A, B$  and  $C$  be three matrices of the same size, preferably square!
- Basic matrix algebra
  - Unit matrix  $I$  : ones on the diagonal, zero otherwise,  $I_{ij} = \delta_{ij}$ ,  $\delta_{ij}$  Kronecker delta
  - Addition:  $A + B = B + A$ ,  $(A + B)_{ij} = A_{ij} + B_{ij}$
  - Subtraction:  $A - B$ ,  $(A - B)_{ij} = A_{ij} - B_{ij}$
  - Multiplication by constant  $\alpha$  :  $(\alpha A)_{ij} = \alpha A_{ij}$
  - Generally  $A \cdot B \neq B \cdot A$
  - Distributivity:  $A \cdot (B + C) = A \cdot B + A \cdot C$

# Matrices

- Inverse matrix

$$A^{-1} \text{ s.t. } A^{-1} \cdot A = A \cdot A^{-1} = I$$

$$(A \cdot B)^{-1} = B^{-1} \cdot A^{-1}$$

- Matrix transpose  $A^T_{ij} = A_{ji}$ ,  $(A \cdot B)^T = B^T \cdot A^T$

- $A$  is *symmetric* if  $A^T = A$

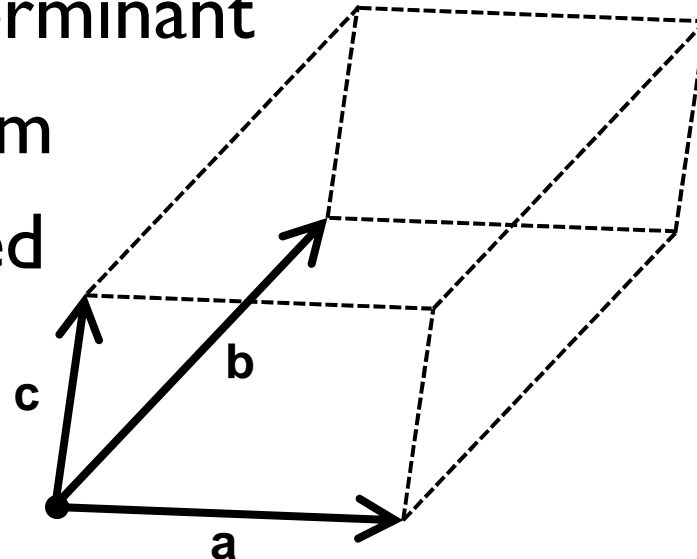
- $U$  is *orthogonal* if its columns consists of vectors which have length 1 and are orthogonal to each other. Then the rows are also orthogonal, and  $A \cdot A^T = A^T \cdot A = I$ .

# Matrices

- The inverse matrix exists only if the determinant  $|A| \neq 0$  .
- $|AB| = |A||B|$  ,  $|A^T| = |A|$
- $|A^{-1}| = 1/|A|$
- For  $U$  orthogonal,  $|U| = \pm 1$
- Solving equations: if  $A \cdot \mathbf{v} = 0$  then nontrivial  $\mathbf{v}$  exists only if  $|A| = 0$  .

# Volume = $ABS(\text{Determinant})$

- The volume of the parallelepiped formed by three vectors **a**, **b**, **c** is the absolute value of the determinant  $|\mathbf{abc}|$  where the components of the vectors are row or columns in the determinant
- Note! Do **a**, **b**, **c** form a right or left handed coordinate system?



# Eigenvalues

- $n \times n$  square matrices  $A$  have  $n$  eigenvalues  $\lambda$  and corresponding  $n$  eigenvectors  $\mathbf{v}$  s.t.

$$A \cdot \mathbf{v} = \lambda \mathbf{v}$$

- They are found by calculating

$$(A - \lambda I) \cdot \mathbf{v} = 0 \Leftrightarrow |A - \lambda I| = 0$$

This gives an  $n$ -th order polynomial in  $\lambda$  with  $n$  solutions.

- Eg. in 3D, for real  $\lambda$ ,  $\mathbf{v}$  represents a direction in which  $A$  is *invariant*.

# Singular Value Decomposition

- If  $A$  is symmetric, the eigenvalues are real, and  $A = Q \cdot D \cdot Q^T$  where  $D$  is a diagonal with eigenvalues  $\lambda_i$  and  $Q$  has columns with corresponding eigenvectors.

- Any square matrix  $A$  can be decomposed

$$A = U \cdot S \cdot V^T$$

where  $S$  is diagonal with non-negative singular values  $\sigma_i$  of  $A$ , and  $U, V$  are orthogonal. If  $A^T = A$  then  $\sigma_i = \sqrt{\lambda_i * \lambda_i}$



# Complex Numbers

- Introduced to extend real numbers to include an imaginary number  $i$  such that  $i^2 = -1$ . Symbolically  $i = \sqrt{-1}$
- Any complex number  $z$  can be written using two real numbers  $x, y$  and the imaginary unit:  $z = x + i*y$
- Complex numbers appear often as roots for polynomials.
- Functions  $f(z)$  have strong properties.

# Quaternions

- Quaternions were invented as an extension of complex numbers to a set of elements with an associative division algebra.
- Idea: try  $w = x + \mathbf{i} * y + \mathbf{j} * z$  ,  $x, y, z$  real,  $\mathbf{i}^2 = \mathbf{j}^2 = -1$ . Unfortunately nobody has been able to make any sense of  $\mathbf{i} * \mathbf{j}$

# Quaternions

- Quaternion numbers  $q$  are given by
$$q = s + a*i + b*j + c*k = (s, a, b, c) = (s, \underline{v})$$
- $s, a, b, c \in \mathbb{R}$
- $i, j, k$  satisfy the algebra:
$$\begin{aligned}i*i &= j*j = k*k = -1 \\i*j &= -j*i = k \\j*k &= -k*j = i \\k*i &= -i*k = j\end{aligned}$$
- Quaternions are not commutative.

# Quaternions

- Algebra:

$$|q|^2 = s^2 + a^2 + b^2 + c^2 = s^2 + \underline{v} \cdot \underline{v}$$

$$q^{-1} * q = (1, \underline{0})$$

$$q^{-1} = (s, -\underline{v}) / |q|^2$$

- Quaternion multiplication:

$$q_1 = (s_1, \underline{v}_1), \quad q_2 = (s_2, \underline{v}_2)$$

$$q_1 * q_2 = (s_1 s_2 - \underline{v}_1 \cdot \underline{v}_2, s_1 \underline{v}_2 + s_2 \underline{v}_1 + \underline{v}_1 \times \underline{v}_2)$$

# Quaternions

- Rotations in 3D are usually formulated using  $3 \times 3$  or  $4 \times 4$  matrices.
- Quaternions can be used to formulate a  $2 \times 2$  matrix representation of rotations in three dimensions.
- Both representations have their advantages and disadvantages